IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Group Art Unit:

1741

NAOYUKI KOBAYAS**K**

Examiner:

William T. Leader

RECEIVED TO 1700

Serial No.:

09/787,021

Filed:

April 18, 2001

For:

METHOD FOR FORMING A LUBRICATIVE

FILM FOR COLD WORKING

Attorney Docket No.: HSTI 0112 PUSA

AMENDMENT UNDER 37 C.F.R. § 1.111

Box Non-Fee Amendment Commissioner for Patents United States Patent and Trademark Office Washington, D.C. 20231

Sir:

In response to the Office Action mailed on January 29, 2002, please amend the ORIGINALLY FILED above-identified application as follows:

In The Claims

Please replace claims 1, 3, and 11 as shown below. A marked up version of the amended claims is attached to this Amendment.

Please cancel claims 9-10.

(Twice Amended) A process for forming a lubricative film for cold working on a metal substrate, said process comprising the following operations:

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Box Non-Fee Amendment, Commissioner for Patents, United States Patent and Trademark Office, Washington, D.C. 20231

April 22, 2002 Date of Deposit

William G. Abbatt Name of Person Signing